

4-5-07

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:)	Examiner: Not Assigned
Daniel Eric LYNCH and John HEPTINSTALL)	Art Unit: 1645
Serial No.: 10/574,166)	Confirmation No.: 4158
Filed: March 29, 2006)	Customer No.: 22430
For: FLUORESCENT COMPOUND)	<u>REQUEST FOR REFUND</u>
Atty. Docket No.: MARK6006)	

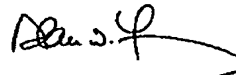
Mail Stop 16
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Attached are copies of: *Transmittal Letter (p2)*; *Form PTO-1449*, and *PTO stamped return postcard*. We have confirmed that \$1400 (for Fee Codes 1631 (\$300), 1632/(\$500) 1633 (\$200), and 1614 (\$400)) was charged to our credit card on April 7, 2006. Also attached is a copy of our *Monthly Statement of Deposit Account* dated September 29, 2006, indicating an additional charge for **Fee Code 1642 (\$400)** on September 14, 2006. Also attached is a copy of the *Filing Receipt (p1)* mailed September 18, 2006, indicating a filing fee received in the amount of \$1660.

Applicants were charged two search fees (Fee Code 1632 and Fee Code 1642) as evidenced by the attached documents. Since the search report was prepared by the EPO and a copy thereof was provided to the USPTO in this application, Applicants hereby Request a Refund of Fee Code 1632 in the amount of \$500, to Deposit Account No. 50-3159.

Respectfully submitted,



Date: April 5, 2007

By: _____
Alan W. Young
Attorney for Applicants
Registration No. 37,970

YOUNG LAW FIRM, P.C.
4370 Alpine Rd., Ste. 106
Portola Valley, CA 94028
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INTERNATIONAL APPLICATION NO.
PCT/GB2004/004167

ATTORNEY'S BOOKLET NUMBER
MARK8008

COPY

The following fees are submitted:

- ☐ a) Basic national fee..... \$300.00
☒ b) Examination fee..... \$200.00
☒ c) Search fee..... \$500.00

TOTAL OF ABOVE CALCULATIONS = \$1000.00

☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.

Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE
- 100 =	/50 =		x \$250.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	10 - 20 =	0	x \$50.00
Independent claims	6 - 3 =	2	x \$200.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$380.00

TOTAL OF ABOVE CALCULATIONS = \$

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

SUBTOTAL = \$ 1400.00

Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

TOTAL NATIONAL FEE = \$ 1400.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

TOTAL FEES ENCLOSED = \$ 1400.00

Amount to be refunded:

Amount to be charged

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3159. A duplicate copy of this sheet is enclosed.
d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

Alan W. YOUNG

NAME

37,970

REGISTRATION NUMBER

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Atty. Docket No.: MARK6006

RECEIVED IN THE UNITED STATES PATENT AND TRADEMARK OFFICE:

Atty. Docket No.: MARK6006

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Title: FLUORESCENT COMPOUND

APR 19 2006

First Inventor: Daniel Eric LYNCH

YOUNG LAW FIRM, P.C.

Documents: 371 APPLICATION TRANSMITTAL LETTER (2pgs); FORM
PTO-2038 (1pg); APPLICATION DATA SHEET (2pgs); FORM
PTO/SB/08A (1pg); FORM PTO/SB/08B (1pg); COPY OF
INTERNATIONAL PUBLICATION NO. WO 2005/033245 A1;
POSTCARD

MAR 3 REC'D PCT/PTO 29 MAR 2006

Express Label: EO 919 088 689 US

Date Mailed: March 29, 2006

10/574166

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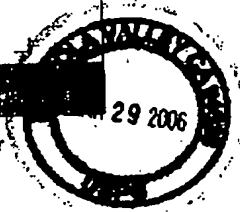
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/574,166	03/29/2006	1645	1660	MARK6006		10	5

CONFIRMATION NO. 4168

22430
 YOUNG LAW FIRM, P.C.
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 PORTOLA VALLEY, CA 94028

FILING RECEIPT



OC000000020462024

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SEP 25 2006

Date Mailed: 09/18/2006

YOUNG LAW FIRM, P.C.

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Daniel Eric Lynch, Coventry, UNITED KINGDOM;
 John Heptinstall, Coventry, UNITED KINGDOM;

Assignment For Published Patent Application

Coventry University, Coventry, UNITED KINGDOM

Power of Attorney: The patent practitioners associated with Customer Number 22430.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/GB04/04167 09/30/2004

Foreign Applications

UNITED KINGDOM 0323171.9 10/03/2003

If Required, Foreign Filing License Granted: 09/15/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/574,166**

Projected Publication Date: 12/28/2006

Non-Publication Request: No

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DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
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9	14	06	684	10574166	MARK6006	1642	400.00	115.00
9	22	06	61	PAYMENT		9203	-1500.00	1615.00
9	27	06	852	10789975	CYBS5858	2253	510.00	1105.00
9	27	06	853	10789975	CYBS5858	2801	395.00	710.00
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